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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
) Group Art Unit: 1616
Evan C. Unger, et al.)
) Examiner: TBA
U.S. Serial No. 10/802,919)
)
Filed: March 18, 2004) Atty. Dkt. No. 006086.00020

For: METHODS FOR DELIVERING COMPOUNDS INTO A CELL

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O.B. 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, enclosed is Form PTO/SB/08a listing documents for consideration by the Examiner during the prosecution of the subject application. Copies of the cited references are enclosed.

The Supplemental Information Disclosure Statement is being filed prior to the issuance of a first office action on the merits. It is believed that no fee or certification is required. However, if a fee is required, please charge our deposit account no. 19-0733.

Respectfully submitted,

Dated: October 1, 2004

Sarah A. Kagan Reg. No. 32,141

Banner & Witcoff, Ltd. Customer No. 22907

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 10/802,919 March 18, 2004 Filing Date First Named Inventor Evan C. Unger, et al. Art Unit 1616 Examiner Name TBD

(Use as many sheets as necessary)

Substitute for form 1449A/PTO

006086.00020 of 2 eet Attorney Docket Number

U.S. PATENT DOCUMENTS								
Examiner Initials *	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US- 5,550,289	08-1996	Eppstein et al.				
		US- 5,656,611	08-1997	Kabanov et al.				
		US- 6,638,767	10-2003	Unger et al.				
		US- 6,743,779	06-2004	Unger et al.				
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (# known)				Т ⁶			
	 								

Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b(08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Substitute	for form 144	B/PTO			Complete if Known				
	INICO	DRAATI	0N D		01 00UDE	Application Number	10/802,919			
					CLOSURE	Filing Date	March 18, 2004			
	STATEMENT BY APPLICANT				PLICANT	First Named Inventor	Evan C. Unger et al.			
						Art Unit	1616			
(Use as many sheets as necessary)		Examiner Name	TBD							
	Sheet	2	0	f	2	Attorney Docket Number	006086.00020			

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	======================================				
		Godbey, W.T. et al., "Recent Progress in Gene Delivery Using Non-viral Transfer Complexes", J Control Release 72 (2001), pages 115-25.			
		Hawiger J., "Noninvasive Intracellular Delivery of Functional Peptides and Proteins," Curr Opin Chem Biol. 1999, 3:89-94.			
		Veach et al., "Receptor/Transporter-independent Targeting of Functional Peptides Across the Plasma Membrane, J Biol Chem, Vol. 279, No. 12, 2004 Mar 19, pages 11425-31.			

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

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